

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1821.0040001/EKS/TJSAPPLICATION NO.  
09/824,787APPLICANT  
Zauderer et al.FILING DATE  
April 4, 2001GROUP  
1642

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
<i>amb</i>	AL1	WO 99/33869	07/08/1999	WIPO	—	—	Yes No
<i>↓</i>	AM1	WO 99/37775	07/29/1999	WIPO	—	—	Yes No
<i>amb</i>	AN1	EP 1 033 401 A2	09/06/2000	Europe	—	—	Yes No
<i>amb</i>	AO1	WO 00/55173	09/21/2000	WIPO	—	—	Yes No
<i>amb</i>	AP1	WO 00/55350	09/21/2000	WIPO	—	—	Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>amb</i>	AR	1	NCBI Entrez, GenBank Report, Accession No. T84927, from Hillier, L., et al., entry created 1995.
<i>↓</i>	AS	1	NCBI Entrez, GenBank Report, Accession No. T92052, from Hillier, L., et al., entry created 1995.
<i>↓</i>	AT	1	NCBI Entrez, GenBank Report, Accession No. R22401, from Hillier, L., et al., entry created 1995.

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*amb Harris*

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*6/30/2004*  
*26/2003*

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
anh	AL2	WO 01/40269 A2	06/07/2001	WIPO	—	—	Yes No
anh	AM2	WO 01/74859 A3 (Search Report Only)	10/11/2001	WIPO	—	—	Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

anh	AR	2	NCBI Entrez, GenBank Report, Accession No. R22331, from Hillier, L., <i>et al.</i> , entry created 1995.
	AS	2	NCBI Entrez, GenBank Report, Accession No. R22332, from Hillier, L., <i>et al.</i> , entry created 1995.
	AT	2	NCBI Entrez, GenBank Report, Accession No. R23369, from Hillier, L., <i>et al.</i> , entry created 1995.

EXAMINER <i>Amtharrio</i>	DATE CONSIDERED <i>2/6/2003 6/30/2004</i>
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>anh</i>	AR	<u>3</u>	NCBI Entrez, GenBank Report, Accession No. R23139, from Hillier, L., et al., entry created 1995.				
<i>↓</i>	AS	<u>3</u>	NCBI Entrez, GenBank Report, Accession No. R32153, from Hillier, L., et al., entry created 1995.				
<i>↓</i>	AT	<u>3</u>	NCBI Entrez, GenBank Report, Accession No. R32154, from Hillier, L., et al., entry created 1995.				
EXAMINER <i>Am Harris</i>				DATE CONSIDERED <i>6/30/2004</i>			

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	AL						Yes No
	AM						Yes No
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	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amh	AR	4	NCBI Entrez, GenBank Report, Accession No. R63575, from Hillier, L., et al., entry created 1995.				
↓	AS	4	NCBI Entrez, GenBank Report, Accession No. R68901, from Hillier, L., et al., entry created 1995.				
	AT	4	NCBI Entrez, GenBank Report, Accession No. R68799, from Hillier, L., et al., entry created 1995.				

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	AL						Yes No
	AM						Yes No
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	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	5	NCBI Entrez, GenBank Report, Accession No. R12836, from Hillier, L., et al., entry created 1995.
↓	AS	5	NCBI Entrez, GenBank Report, Accession No. H12779, from Hillier, L., et al., entry created 1995.
↓	AT	5	NCBI Entrez, GenBank Report, Accession No. H56522, from Hillier, L., et al., entry created 1995.

EXAMINER <i>amh</i>	DATE CONSIDERED <i>6/30/2004</i>
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	AL						Yes No
	AM						Yes No
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	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

anh	AR	6	NCBI Entrez, GenBank Report, Accession No. H56704, from Hillier, L., et al., entry created 1995.				
J	AS	6	NCBI Entrez, GenBank Report, Accession No. H95363, from Hillier, L., et al., entry created 1995.				
V	AT	6	NCBI Entrez, GenBank Report, Accession No. H96055, from Hillier, L., et al., entry created 1995.				

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	NCBI Entrez, GenBank Report, Accession No. H96058, from Hillier, L., et al., entry created 1995.
	AS	2	NCBI Entrez, GenBank Report, Accession No. H94832, from Hillier, L., et al., entry created 1995.
	AT	2	NCBI Entrez, GenBank Report, Accession No. H96418, from Hillier, L., et al., entry created 1995.
EXAMINER <u>amh</u> DATE CONSIDERED <u>6/30/2004</u>			

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amh	AR	8	NCBI Entrez, GenBank Report, Accession No. H96422, from Hillier, L., et al., entry created 1995.				
J	AS	8	NCBI Entrez, GenBank Report, Accession No. N26715, from Hillier, L., et al., entry created 1995.				
V	AT	8	NCBI Entrez, GenBank Report, Accession No. N27088, from Hillier, L., et al., entry created 1995.				

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amb	AR	2	NCBI Entrez, GenBank Report, Accession No. N32532, from Hillier, L., et al., entry created 1996.				
↓	AS	2	NCBI Entrez, GenBank Report, Accession No. N31910, from Hillier, L., et al., entry created 1996.				
↓	AT	2	NCBI Entrez, GenBank Report, Accession No. N34596, from Hillier, L., et al., entry created 1996.				

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am Harris

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>amb</i>	AR	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. N42748, from Hillier, L., et al., entry created 1996.
	AS	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. N42693, from Hillier, L., et al., entry created 1996.
	AT	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. AA026773, from Hillier, L., et al., entry created 1996.

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	11	NCBI Entrez, GenBank Report, Accession No. AA026774, from Hillier, L., et al., entry created 1996.
	AS	11	NCBI Entrez, GenBank Report, Accession No. W37432, from Hillier, L., et al., entry created 1996.
	AT	11	NCBI Entrez, GenBank Report, Accession No. W32121, from Hillier, L., et al., entry created 1996.
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	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
<i>amb</i>	AR	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W44577, from Hillier, L., et al., entry created 1996.
	AS	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W51792, from Hillier, L., et al., entry created 1996.
	AT	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W57569, from Hillier, L., et al., entry created 1996.
EXAMINER	<i>amb Harris</i>		DATE CONSIDERED <i>6/30/2004</i>

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	AP						Yes No

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	AR	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. W65390, from Hillier, L., et al., entry created 1996.
	AS	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. W61294, from Hillier, L., et al., entry created 1996.
	AT	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. AA037285, from Hillier, L., et al., entry created 1996.

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amb	AR	14	NCBI Entrez, GenBank Report, Accession No. AA502178, from NCI-CGAP, entry created 1997.
J	AS	14	NCBI Entrez, GenBank Report, Accession No. AA285089, from Hillier, L., et al., entry created 1997.
	AT	14	NCBI Entrez, GenBank Report, Accession No. AA284919, from Hillier, L., et al., entry created 1997.
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	AO						Yes No
	AP						Yes No

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amh	AR	15	NCBI Entrez, GenBank Report, Accession No. AA308370, from Adams, M.D., et al., entry created 1997.
↓	AS	15	NCBI Entrez, GenBank Report, Accession No. AA313422, from Adams, M.D., et al., entry created 1997.
↓	AT	15	NCBI Entrez, GenBank Report, Accession No. AA337071, from Adams, M.D., et al., entry created 1997.
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amh	AR	16	NCBI Entrez, GenBank Report, Accession No. AA375119, from Adams, M.D., et al., entry created 1997.
	AS	16	NCBI Entrez, GenBank Report, Accession No. AA375286, from Adams, M.D., et al., entry created 1997.
	AT	16	NCBI Entrez, GenBank Report, Accession No. AA393628, from Hillier, L., et al., entry created 1997.

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amh

DATE CONSIDERED

6/20/2014

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1821.0040001/EKS/TJSAPPLICATION NO.  
09/824,787APPLICANT  
Zauderer et al.FILING DATE  
April 4, 2001GROUP  
1642

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>anh</i>	AR	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA568537, from NCI-CGAP, entry created 1997.
<i>J</i>	AS	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA704668, from Hillier, L., et al., entry created 1997.
<i>V</i>	AT	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA707623, from NCI-CGAP, entry created 1997.
EXAMINER <i>amr Harris</i>			DATE CONSIDERED <i>6/30/2004</i>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449  
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APPLICATION NO.  
 09/824,787

APPLICANT  
 Zauderer et al.

FILING DATE  
 April 4, 2001

GROUP  
 1642

**U.S. PATENT DOCUMENTS**

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

amh	AR	18	NCBI Entrez, GenBank Report, Accession No. AA813244, from NCI-CGAP, entry created 1998.				
↓	AS	18	NCBI Entrez, GenBank Report, Accession No. AA911823, from NCI-CGAP, entry created 1998.				
↓	AT	18	NCBI Entrez, GenBank Report, Accession No. AA830592, from NCI-CGAP, entry created 1998.				

EXAMINER *amh/harris*

DATE CONSIDERED *6/28/2004*

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		APPLICANT Zauderer et al.			
		FILING DATE April 4, 2001		GROUP 1642	

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	19	NCBI Entrez, GenBank Report, Accession No. AA872671, from NCI-CGAP, entry created 1998.
AS		19	NCBI Entrez, GenBank Report, Accession No. AA935328, from NCI-CGAP, entry created 1998.
AT		19	NCBI Entrez, GenBank Report, Accession No. AA971857, from NCI-CGAP, entry created 1998.

EXAMINER <i>amh</i>	DATE CONSIDERED <i>6/30/2004</i>
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
<i>amb</i>   	AR	20	NCBI Entrez, GenBank Report, Accession No. AA971638, from NCI-CGAP, entry created 1998.
	AS	20	NCBI Entrez, GenBank Report, Accession No. AI025384, from NCI-CGAP, entry created 1998.
	AT	20	NCBI Entrez, GenBank Report, Accession No. AI051009, from NCI-CGAP, entry created 1998.

EXAMINER <i>amb Harris</i>	DATE CONSIDERED <i>6/30/2004</i>
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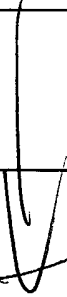


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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	21	NCBI Entrez, GenBank Report, Accession No. AI041967, from NCI-CGAP, entry created 1998.
	AS	21	NCBI Entrez, GenBank Report, Accession No. AI083674, from NCI-CGAP, entry created 1998.
	AT	21	NCBI Entrez, GenBank Report, Accession No. AI199227, from NCI/NINDS-CGAP, entry created 1998.
EXAMINER			DATE CONSIDERED 6/30/2004

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FORM PTO-1449

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amb	AR	22	NCBI Entrez, GenBank Report, Accession No. AI285284, from NCI-CGAP, entry created 1998.				
↓	AS	22	NCBI Entrez, GenBank Report, Accession No. AI288765, from NCI-CGAP, entry created 1998.				
↓	AT	22	NCBI Entrez, GenBank Report, Accession No. AI336555, from NCI-CGAP, entry created 1998.				

EXAMINER

amb

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	FILING DATE April 4, 2001	GROUP 1642

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>amh</i>	AR	23	NCBI Entrez, GenBank Report, Accession No. AI362693, from NCI/NINDS-CGAP, entry created January 1999.
<i>AS</i>	AS	23	NCBI Entrez, GenBank Report, Accession No. AI360416, from NCI/NINDS-CGAP, entry created January 1999.
<i>AT</i>	AT	23	NCBI Entrez, GenBank Report, Accession No. AI439771, from NCI-CGAP, entry created February 1999.

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*amh*

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*6/30/2004*

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	24	NCBI Entrez, GenBank Report, Accession No. AI499727, from NCI/NINDS-CGAP, entry created February 1999.
	AS	24	NCBI Entrez, GenBank Report, Accession No. AI525314, from Huang, G.M., et al., entry created March 1999.
	AT	24	NCBI Entrez, GenBank Report, Accession No. AI672936, from NCI-CGAP, entry created May 1999.

EXAMINER	DATE CONSIDERED 6/28/2004
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amh	AR	25	NCBI Entrez, GenBank Report, Accession No. AI800991, from NCI-CGAP, entry created July 1999.				
	AS	25	NCBI Entrez, GenBank Report, Accession No. AI914716, from NCI-CGAP, entry created July 1999.				
	AT	25	NCBI Entrez, GenBank Report, Accession No. AI934846, from NCI/NINDS-CGAP, entry created August 1999.				
EXAMINER	amh				DATE CONSIDERED 6/30/2004		

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	26	NCBI Entrez, GenBank Report, Accession No. AI937133, from NCI/NINDS-CGAP, entry created August 1999.
	AS	26	NCBI Entrez, GenBank Report, Accession No. AW006797, from NCI-CGAP, entry created September 1999.
	AT	26	NCBI Entrez, GenBank Report, Accession No. AW327450, from NIH-MGC, entry created November 1999.
EXAMINER	mm Harris		DATE CONSIDERED 6/30/2004

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	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amb	AR	27	NCBI Entrez, GenBank Report, Accession No. AI909652, from Dias Neto, E., et al., entry created December 1999.				
↓	AS	27	NCBI Entrez, GenBank Report, Accession No. AW406075, from NIH-MGC, entry created February 2000.				
↓	AT	27	NCBI Entrez, GenBank Report, Accession No. AW406223, from NIH-MGC, entry created February 2000.				

EXAMINER	AMH/abes	DATE CONSIDERED	6/30/2004
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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amh	AR	28	EMBL Entry, Accession No. AW327450, from NIH-MGC, entry created January 2000.
↓	AS	28	Comparison of C35 DNA sequence with actual sequences for deposited clones corresponding to W57569 (Document AT12) and AA971857 (Document AT19), prepared by inventors of parent application, Zauderer et al.
	AT	28	Sahasrabudhe, D.M., et al., "Shared T Cell-Defined Antigens on Independently Derived Tumors," J. Immunol. 151:6302-6310, The American Association of Immunologists (1993)

EXAMINER	amh	DATE CONSIDERED	6/28/2004
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